

Mail Stop Patent Application
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Case Docket No.P8966
November 4, 2003
Express Mail Label No. EV326952381US
0045.0096

17497 U.S. PTO
10/702150



Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Keyur B. Desai



For: USING AND GENERATING FINITE STATE MACHINES TO MONITOR
SYSTEM STATUS

Enclosed are:

- 12 Sheet(s) of drawings + 0 extra copies;
- 30 pages of Application; 17 pages of specification, 1 page of abstract
- An assignment of the invention to Sun Microsystems, Inc. (Will follow.)
- An associate power of attorney.
- A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
- Declaration and Power of Attorney. (Will follow.)
- Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.
- IDS enclosed - 3 references.
- Non-Publication Request
- Return Postcard.

| CALCULATION OF FEES | | | | | | |
|---------------------|--|------------------------------------|----------------------------|--|----------------|--------|
| ITEM | | NO. OF CLAIMS FILED MINUS BASE* | NO. OF CLAIMS OVER BASE | X SM/LG ENTITY FEE | \$ AMOUNT | \$ FEE |
| A | TOTAL CLAIMS FEE | 48 | - 20* = | 28 | X \$9 or \$18 | \$504 |
| B | INDEPENDENT CLAIMS FEE** | 8 | - 3* = | 5 | X \$42 or \$84 | \$420 |
| C | SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B) | | | | \$924 | |
| D | MULTIPLE-DEPENDENT CLAIMS FEE | | | SMALL ENTITY FEE = \$140; LARGE ENTITY FEE = \$280 | \$0 | |
| E | BASIC FEE* | | | SMALL ENTITY FEE = \$370; LARGE ENTITY FEE = \$750 | \$750 | |
| F | TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E) | | | | \$1,674 | |
| G | ASSIGNMENT RECORDING FEE | | | | \$ 40 | \$40 |

**LIST INDEPENDENT CLAIMS 1, 9, 15, 19, 26, 31, 39, 45

Please charge Dep. Acct. No. 50-0585 in the amount of \$0

A copy of this sheet is enclosed.

- A check in the amount of \$ 1,674 to cover the filing fee is enclosed.
- Check for \$ 40 covering the Recordation of Assignment fee enclosed.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0585. A copy of this sheet is enclosed.
- Any additional filing fees required under 37 CFR 1.16.
- Any patent application processing fees under 37 CFR 1.17.
- The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-0585. A copy of this sheet is enclosed.
- Any patent application processing fees under 37 CFR 1.17.
- The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

David W. Victor
Registration No. 39,867

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(310) 556-7983

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for
K.B. Desai

Examiner: Not Yet Assigned

Serial No.: Not Yet Assigned
Filed: November 4, 2003

Art Unit: Not Yet Assigned

For: **USING AND GENERATING FINITE
STATE MACHINES TO MONITOR
SYSTEM STATUS**

CERTIFICATE OF MAILING

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

"Express Mail" Label No. EV326952381US

I hereby certify that patent application papers, including specification, claims and 12 sheets of drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

November 4, 2003

(Date of Deposit)

Geoffrey Bell
(Name of person mailing paper or fee)


Geoffrey Bell
(Signature)

**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

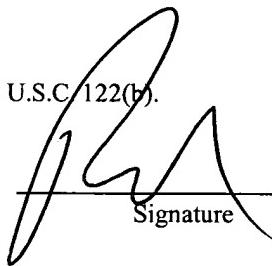
| | |
|------------------------|---|
| First Named Inventor | K.B. Desai |
| Title | USING AND GENERATING FINITE STATE MACHINES TO MONITOR SYSTEM STATUS |
| Attorney Docket Number | P8966 |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

November 4, 2003

Date



Signature

(310) 553-7977

Telephone number

David W. Victor, Registration No. 39,867

Typed or Printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently filed an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**